FILING A CIVIL CASE WITHOUT AN ATTORNEY: A GUIDE FOR THE PRO SE LITIGANT





UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS

(05/13/09)

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Introduction

This guide is intended to help people who want to file a civil case without an attorney. Someone who files a civil case on his or her own behalf is often referred to as a pro se party or pro se litigant (pronounced pro say).

If you are a pro se litigant, this guide will help you to understand some of the legal terms you are likely to hear as your case proceeds. It will explain some of the guidelines that control how a civil case moves forward. It will also give you information about a few legal resources you may wish to consult. However, the guide is *not* a substitute for an attorney.

The staff of the Clerk's Office can help you by answering questions about procedures, but they are *forbidden by law* from giving you legal advice. This means, for example, that the Clerk's staff *cannot* do any of the following:

- recommend a legal course of action or suggest ways to help you win your case;
- predict how a district or magistrate judge may decide any issue;
- interpret the meaning of any judicial order; or
- interpret the local rules of this Court, federal procedural rules, or federal statutes.

The rules and procedures that affect the way your case proceeds can be hard to understand. With that in mind, you should seriously consider trying to obtain professional legal assistance. There are legal organizations that can help arrange for you to have a chance to talk with a lawyer about your case for a small fee. There are also some organizations that help to arrange for attorneys to represent litigants at no charge. A separate section of this guide lists organizations that may be able to offer you legal assistance.

This guide has been organized into the following sections:

- Legal Words: Some Terms You May Encounter and What They Mean
- Filing Your Case: What You Must Do to Get Started
- Proceeding In Forma Pauperis
- Fees
- A Few Basic Procedures for Ongoing Cases
- The Clerk's Office
- Getting Legal Help
- Appendix: Samples of Forms and Local Rules

Copies of the forms that are included in the Appendix of the guide may be obtained from the Clerk's Office in Chicago or Rockford. The Chicago office is located on the 20th floor of the

Dirksen Federal Courthouse at 219 South Dearborn Street. The Rockford, Illinois office is located on the 2nd floor of the United States Courthouse at 211 South Court Street.

The District is divided into two divisions. The Chicago courthouse is the headquarters for the District's Eastern Division. The Rockford courthouse is the headquarters for the District's Western Division. A map of the District has been included in the appendix, showing the counties in each division.

Legal Words: Some Terms You May Encounter and What They Mean

The courts and lawyers use words that you may not encounter in other settings. Because their vocabulary is specialized, you are likely to hear or see terms that are somewhat confusing. This section gives you definitions of some of the most common legal terms used in civil cases. It is intended to help make it easier to understand the discussion in the rest of the guide.

Amount in controversy

The phrase "amount in controversy" is sometimes used to describe the amount of money requested in a civil case.

Answer

The answer is the name for the document filed as the defendant's basic response to the complaint filed by the plaintiff. The answer must respond to each of the points made in the complaint.

Appearance form

An appearance form is a document form that records the name and address of someone who is representing one or more of the parties in a case. It is called an appearance form because it is a formal statement by the person who files it that he or she will "appear" in court on behalf of a party. The information entered on the appearance form is used by the Clerk's Office to send copies of notices and orders. Appearance forms are completed by both attorneys and pro se litigants. A sample appearance form has been included in the Appendix.

Civil case

A civil case is a legal action in which one party (the plaintiff) sues another party (the defendant). In a civil case, the plaintiff claims that the defendant or defendants failed to carry out some type of legal duty—for example, the duty to comply with a contract or the duty not to violate constitutional rights. The plaintiff also claims to have suffered a financial loss or personal injury because of the defendant's actions. In most civil cases the plaintiff asks the court to order the defendant to pay for the harm suffered by the plaintiff.

Civil cover sheet

The civil cover sheet is a form completed by the plaintiff that is submitted along with the complaint. The civil cover sheet records basic information about the civil case, including the names of the parties, the type of case, and the damages being requested by the plaintiff. The plaintiff may also use the civil cover sheet to request that the case be tried by a jury. Civil cover sheets are used to collect statistical information for the federal government about the kinds of cases filed in district courts. A sample civil cover sheet is included in the Appendix.

Complaint

The complaint is the document filed by the plaintiff that begins the lawsuit. It names each defendant, describes how the plaintiff was harmed by each defendant, explains why the court has jurisdiction over the case ("jurisdiction" is defined below), and describes the money damages or other forms of relief requested by the plaintiff. The complaint *must* be signed, either by an attorney or by the pro se party.

Counsel

"Counsel" is another name for attorney.

Damages

"Damages" is the word used to describe the harm that the plaintiff says was caused by the defendant. It is also used to describe the amount of money requested by the plaintiff in the complaint.

Default judgment

A default judgment is a judgment entered in then plaintiff's favor because the defendant failed to answer or respond to the complaint.

Defendant

In a civil case, the defendant is the person being sued by the plaintiff.

Discovery

"Discovery" is a word used to describe the phase of a civil case where each side collects information from the other. This may mean getting copies of documents or holding interviews, called depositions, where one side answers questions asked by the other side about facts and events relevant to the case, with the answers being formally recorded as part of a record that may be used in the case.

District judges

These are the judges of the Court. District judges are sometimes called "Article III" judges because they are appointed for life under Article III of the United States Constitution.

Docket

The docket is a short written record of what happens in a civil case. Each document filed in the case and each order entered by the judge is

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noted on the docket. In most federal district courts—including the Northern District of Illinois—the docket is a computerized record. This computerized docket is part of an automated system called the Integrated Case Management System, or ICMS. "The docket" and "the ICMS docket" are different names for the same thing.

Federal Rules of Civil Procedure

The Federal Rules of Civil Procedure are a set of rules that govern the way civil cases are handled in all federal district courts. Approved by the Supreme Court and by Congress, these rules have the force of law. They are often referred to by the abbreviation FedRCivP, FRCP, or FRCvP. Copies of the Federal Rules of Civil Procedure may be consulted at the William J. Campbell Law Library on the 16th floor of the Dirksen Building, and can probably also be found in any public library. In addition, a computerized version of the Federal Rules may be consulted in the public area of the Clerk's Office on the 20th floor of the Chicago courthouse.

In forma pauperis

A person who cannot afford to pay the \$350 filing fee for a civil case has the option of asking the court for permission to file a case without paying the fee in advance. To make this request, the party files a petition called an in forma pauperis petition, or IFP petition. In Latin, the phrase in forma pauperis means "as a poor person." This petition is a sworn statement that lists the plaintiff's income and other assets, and is used by the judge in deciding whether or not the plaintiff should be allowed to proceed without paying the filing fee.

Judgment

The judgment is the final decision made by the judge that ends the case.

Jurisdiction

Jurisdiction is the legal authority of the court to hear a civil case. Federal district courts have jurisdiction only over the following types of civil cases:

- cases where the U.S. government is a plaintiff;
- cases where the U.S. government is a defendant;
- cases involving a constitutional right or a federal law (these are called federal question cases); and
- cases where the plaintiff and defendant live in different districts, for example, cases where the parties live in different states. This last type of case is called a diversity case. In diversity cases, the dollar amount in controversy in the case must be *at least* \$75,000.

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Leave to

The words "leave to" or "leave" are often used when a party is asking for the court's permission to do something. For example, an attorney's request for leave to appear in a case is a request for the court's permission to appear.

Litigant

The word litigant is another name for the parties involved in a case, generally the plaintiff and the defendant.

Local rules

District courts have a set of their own rules that control aspects of civil cases. Copies of the local rules may be purchased from the Clerk's Office. They may also be consulted in law libraries. A computerized version of the local rules may also be consulted in the Clerk's Office.

Magistrate judges

Magistrate judges are judicial officers appointed by the Court for an eight-year term of office. In civil cases, magistrate judges can handle a wide range of matters referred to them by district judges. If all of the parties in a civil case give their consent, a civil case can be reassigned to a magistrate judge for trial. When a civil case is filed, a magistrate judge is designated for that case. If the case requires the involvement of a magistrate judge at any point, it will go to the designated magistrate judge.

Minute order

A minute order is a form used by judges to set schedules in cases and to record brief rulings. Judges sometimes use the reverse side of this form to enter brief orders.

Order

An order is a decision or set of instructions by a judge that usually directs the plaintiff or defendant to do something.

Party

Each person or company named in the lawsuit on either side of the case is called a party.

Plaintiff

In a civil action, the plaintiff is the party who files the case.

Pleadings

A pleading is a written document that sets out the basic position and argument of one of the parties in a case. In most cases, the basic pleading for the plaintiff is the complaint and the basic pleading for the defendant is the answer. In some cases, the basic response of the defendant on some occasions is a motion to dismiss the case instead of an answer.

Relief

"Relief" is another name for the money or other damages requested by the plaintiff in the complaint. When a party files a motion, the word "relief" is sometimes used to describe what action the party filing the motion is asking the judge to do.

Referral

A referral is an order entered by a district judge that directs a magistrate judge to handle one or more aspects of a case. Examples of matters that are sometimes referred to a designated magistrate judge are the supervision of the discovery process or the handling of a specific motion filed by one of the parties.

Service of process

"Service of process" is a phrase used to describe the act of formally serving a summons and a copy of the complaint on the defendant. Plaintiffs may not serve the summons on the defendant themselves. Service of process is usually carried out by a process server. Where ordered by the court, service in certain circumstances may be made by the U.S. Marshal (see also "waiver of service").

Settlement

A settlement is one of the ways a case can end. A settlement occurs when the parties resolve their civil dispute, usually after negotiations among themselves rather than the court or a jury deciding the case.

Summons

A summons is a formal notice by the court telling the defendant that he or she is being sued by the plaintiff, and that an answer is required. The phrase used to describe the Clerk's Office providing a signed and sealed copy of a summons to the plaintiff is "issuing the summons." Even though the summons is a notice from the Court, the plaintiff is responsible for delivering the summons and a copy of the complaint to the defendant, in accordance with F.R.Cv.P. 4. Phrases that are sometimes used to describe delivering the summons to the defendant are "service of summons" and "executing service." A copy of a blank summons is included in the Appendix.

Waiver of service

"Waiver of service" refers to circumstances where the plaintiff is able to waive (skip) the formal service of the summons on the defendant. For the plaintiff, the benefit of waiving service is not having to the pay the cost of serving the summons. For defendants, the benefit of agreeing to waive service is extra time to file an answer to the complaint. Defendants who agree to waive service have 60 days to file an answer instead of the standard 20 days to answer. The U.S. government may not waive service. The Appendix includes two forms

that are used for this purpose, a Notice of Lawsuit and Request for Waiver of Service of Summons, which is the form sent to the defendant by the plaintiff, and a Waiver of service, which is the form sent to the Clerk's Office by a defendant who agrees to waive service. These two forms are included in the Appendix.

Filing Your Civil Case: What You Must Do to Get Started

Documents You Are Required to File

When you file a civil case, you must submit each of the following documents to the Cashier:

- a complaint.
 - Extra copies of the complaint are required. In addition to your original complaint, you must submit one extra copy for the judge. If you do not pay the filing fee and submit a petition to proceed without prepaying the fee, you will need to submit one extra copy of your complaint for each defendant. (IFP petitions are discussed in a section that begins on page 12).
- a civil cover sheet.
- an appearance form.
- a summons for each defendant named in the complaint. You need to provide an original and one copy for each defendant. If you are suing the federal government or one of its agencies, you must provide three more copies *in addition to* the copies for the named defendants.
- *Either* the \$350 filing fee *or* the forms used to request permission to proceed in forma pauperis. If you are requesting leave to proceed in forma pauperis, you must submit an original IFP petition and a copy for the judge.

If you wish to have a court-appointed attorney, you may file a motion for appointment of counsel at the same time that you file the documents listed above. A copy of a form that can be used to request appointment of counsel is included in the appendix. As with every document filed with the court, you must file an original and one copy of the motion for the judge.

A separate section of this guide covers the steps involved in filing a petition for leave to proceed in forma pauperis (see page 12). Another section deals with special procedures that you need to follow if you are filing an employment civil rights case (see page 13). In *this* section of the guide, assume that you can afford to pay the \$350 filing fee and that you are not filing a civil rights employment case.

The Complaint

In the space at the top right of the first page, enter "United States District Court." On the line below that, enter "Northern District of Illinois." In the top left of the first page of your complaint you should list the names of the parties in your case. Your name (the plaintiff) should appear first. On the next line, enter the letter "v.", which is short for "versus" or "against". List the names of the defendant or defendants below the "v". You must list *all* of the parties. Each person should be identified as a plaintiff or a defendant. Underneath the party names and the name of the court, enter the word "Complaint" to identify your document.

The basic information that identifies the document is sometimes called the "caption." An example of a caption is the following:

)	United States District Court
A. Smith,)	Northern District of Illinois
Plaintiff)	
)	
v.)	
)	
B. Jones,)	
Defendant)	

COMPLAINT

After the caption, write a description of your case. You should tell what happened to you and why you believe that the defendant is responsible for the damage or injury you experienced. You should tell why the court has jurisdiction over the case ("jurisdiction" is defined in the legal terms section of this guide). You must also state what you want the court to do, for example, the amount of money you are seeking from the defendant

If possible, you should write your complaint in the form of numbered paragraphs, with each paragraph covering a separate point of your case.

Your complaint is your side of the case and your version of events. It is important for you to be careful, complete, and as clear as possible in your complaint.

You must sign the complaint on the last page. Below your signature, you need to print your name and address.

What Happens When You Submit the Complaint.

You should complete the complaint, civil cover sheet, appearance form, summons, and any other documents you want to file *before* you arrive at the Clerk's Office to file your case. Bring your documents to the cashier's window, located in the northeast corner of the 20th floor of the Chicago courthouse. [NOTE: in the Western Division, bring documents to the Clerk's Office, located on the second floor of the Rockford courthouse].

When you present your documents, the cashier will review them to be sure that they appear to have been properly completed. The clerk will then assign the next available civil case number to your complaint. A computer program will be used to randomly pick a district judge for your case. A magistrate judge will also be designated for your case. You may stamp the case number and the district and magistrate judges' names on the original and copies at the time of filing.

If you have paid the filing fee and have summons, the cashier will issue an original and one copy for each defendant and will give them back to you for service.

If you are filing a petition for leave to proceed in forma pauperis, see the section below. In all other cases, it is your responsibility to ensure that the summons and a copy of the complaint are served on the defendant. However, *you may not serve the summons yourself*. Your options for serving the summons are the following:

- You can arrange for a private process server to serve the summons. The process server will file an affidavit with the court stating how the service was carried out. A summons may be served by anyone over the age of 18 who is not a party to the case.
- You can file a motion asking the Court to direct the U.S. Marshal to serve the summons, on the grounds that you cannot afford to prepay the cost of a process server.
- You can ask the defendant to waive the right to formal service. If you want to use this approach, you need to send the defendant a Notice of Lawsuit and Waiver of Service Form.

Service in a civil case must be carried out properly. The basic guidelines for service are described in Rule 4 of the Federal Rules of Civil Procedure. Failure to serve correctly may result in a case being dismissed. The text of Rule 4 has been included in the appendix to this guide.

Filing a Petition to Proceed In Forma Pauperis

If you are unable to pay the \$350 filing fee for a civil case, you may ask the court to let you proceed without paying the fee in advance. The Latin phrase used for proceeding in this way is "in forma pauperis." Translated, this phrase means "in the status of a poor person." This phrase is often shortened to "IFP." Cases of this type are sometimes called IFP cases.

A separate document is used to ask permission to proceed in forma pauperis. The full name of this document is an "Application to Proceed Without Prepayment of Fees and Affidavit." This document is almost always called by the shorter name of "IFP petition."

A copy of a blank IFP petition is included in the Appendix. Blank IFP petitions may be obtained in the public area of the Clerk's Office. If you want to proceed in forma pauperis, you need to submit a completed original IFP petition and a copy for the judge at the same time that you submit your complaint and associated documents.

If you file an IFP petition, you should provide the cashier with an original and one copy of the summons for each defendant at the time that you present your IFP petition. The summons will be kept with your file. If the judge grants your request to proceed in forma pauperis, the summons will issue. The IFP petition, the complaint, and any other documents you submitted along with the complaint will be sent to the assigned district judge. There are a number of possible results of this review. Some of the more common outcomes are the following:

- The judge may grant the IFP petition. If this happens, the summons will be issued. The judge may also direct the U.S. Marshal to serve the summons and complaint on the defendant.
- The judge may determine that you *can* afford the \$350 filing fee. If this happens, you will need to pay the filing fee—usually within a specified period of time—before your case can proceed and the summons is issued.
- The judge may require you to pay a part of the \$350 filing fee. If this happens, you must comply with the judge's instructions within a specified time before your case proceeds.

- The judge may ask for more information from you before ruling on your IFP petition.
- The judge may also review your complaint and decide, on the basis of that review, that your case should be dismissed on legal grounds. If this happens, your case will not proceed.

Filing a Complaint of Employment Discrimination

If you are suing the federal government or one of its agencies for employment discrimination, follow the guidelines for preparing a complaint described above starting on page 9. In all other instances where a claim of employment discrimination is made, a separate complaint form is used.

The intake clerk will give you blank copies of the employment discrimination complaint form on request. A copy of this form has been included in the Appendix of this guide.

The remainder of this section tells you how to complete the employment discrimination complaint form. If you need additional room for your answer to any of the items on the form, you may enter the extra information on a plain piece of paper that is the same size as the employment discrimination form. If you add an extra page for one of the items in the form, write "see additional page" in the place on the form where you run out of room to write. On your additional page, write the number of the item and the word "continued" (For example, "Item 13 continued").

Identifying the Parties

At the top of the form, write your name in the lined space above "(Name of the plaintiff or plaintiffs)." On the lines above "(Name of the defendant or defendants)," write the name of the party or parties you want to sue. If you need more space to list plaintiffs or defendants, use an extra sheet of paper, and indicate on the original form that the list of parties continues to another page. Be careful to identify each party as a plaintiff or defendant.

Numbered Items in the Employment Discrimination Complaint Form

1) This item simply identifies the complaint as a legal action involving a claim of employment discrimination. You do not need to write anything here.

- 2) Item 2) tells the court who you are. Complete this item by entering your name and the county and state where you live. If there are more than one plaintiffs, you need to add the counties and states where each plaintiff lives.
- 3) Item 3) identifies the defendant. Complete this item by entering the name, address, and (if available) the telephone number of each defendant. Please note that, in general, the defendant named in the complaint filed in this Court must be the same person or company that was named in the EEOC proceeding.
- 4) Item 4) identifies the place of business where you believe the employment discrimination happened. Complete this item by entering the address of the business.
- 5) Item 5) describes your employment relationship with the defendant at the time you are filing your complaint. Complete this item by putting an "X" or a "\(\necleft\)" in the box that describes your current status.
- 6) Item 6) indicates when you believe the employment discrimination occurred or—if you believe the discrimination is still going on—when the discrimination started. Complete this item by entering the date. If you do not know a specific date, complete item 6 by entering the date that is your best estimate of when the discrimination happened or started.
- 7) Item 7) indicates whether you have or have not previously taken your employment discrimination complaint to either the Equal Employment Opportunity Commission (EEOC) *or* the Illinois Department of Human Rights. Record your response by entering an "X" or a "\(\nabla\)" in the box labeled "has not" or the box labeled "has."

If you indicated in 7)(a) that you *have* filed your complaint with the EEOC or the Illinois Department of Human Rights, complete items 7)(a)(i) or 7)(a)(ii) to indicate which of these agencies you have been before and the date you filed your complaint with them.

In item 7)(b), enter an "X" or a " \checkmark " in the box labeled "Yes" or "No" to indicate whether you have attached a copy of the charge you brought before the EEOC and/or the Illinois Human Rights Commission.

8) If the EEOC has not issued you a document called a "Notice of Right to Sue," enter an "X" or a "\(\nsigma\)" in box 8)(a).

- If the EEOC *has* issued you a "Notice of Right to Sue," enter an "X" or a " \checkmark " in box 8)(b). Complete the rest of item 8)(b) by entering the date on which you received the EEOC notice. Attach a copy of your 'Notice of Right to Sue" to the complaint form.
- 9) Item 9) indicates the type of discrimination covered by your complaint. Read the list of discrimination types, then enter an "X" or a "\(\nsigma\)" in the boxes or boxes that you believe apply to your situation.
- 10) If the defendant in your complaint is a state or local government agency *and* you are claiming discrimination on the basis of race, color, or national origin, complete item 10) by entering an "X" or a "✔" in the box labeled "YES." For all other complaints, enter an "X" or a "✔" in the box labeled "NO."
- 11) This item lists the laws that give the District Court jurisdiction over the case. You do not need to write anything here.
- Use item 12) to indicate what action or actions you believe the defendant took that affected your employment. Read the list of six actions, then enter an "X" or a "✔" in the boxes or boxes that you believe apply to your situation. Note that this item 12)(f) allows additional space for you to write more if you believe that items 12)(a) through 12)(e) don't apply to your complaint. Please note that a plaintiff is generally permitted to proceed in this Court *only* on claims that have been presented to the EEOC.
- 13.) Use item 13) to briefly describe the facts that lead you to believe that you have been discriminated against by the defendant.
- 14) Item 14) consists of specific language that is required in employment complaints that claim discrimination on the basis of age. You do not need to write anything here.
- Use item 15) to indicate whether or not you want your case to be tried by a jury. If you want a trial by jury, enter an "X" or a "✓" in the box labeled "YES." If you do not want to demand a jury trial, enter an "X" or a "✓" in the box labeled "NO."
- Use Item 16) to tell the Court what you want to happen as a result of your employment discrimination complaint. Read the list of kinds of relief, then enter an "X" or a "✔" in the boxes or boxes that indicate the result you want. Note that item 16)(f) allows additional space for you to write more if necessary.

Signature, Date, Name, and Address

You must sign the employment discrimination complaint. Do this on the last page of the form. You must also enter your name, address, and telephone number.

Completing the Civil Cover Sheet for an Employment Discrimination Complaint

The civil cover sheet is a form that you need to complete and submit along with your complaint. The civil cover sheet records basic information about your civil case. There are instructions on the reverse side of the civil cover sheet describing how the cover sheet should be completed. However, a brief summary for employment discrimination complaints may be helpful.

- Identifying the parties. Record the names of the parties in the spaces labeled "plaintiffs" and "defendants" at the top of the civil cover sheet.
- Basis of jurisdiction. Unless you are suing the federal government, enter an "X" or a "✔" in the box labeled "federal question." If you are suing the federal government enter an "X" or a "✔" in the box labeled "U.S. Government Defendant."
- In an employment discrimination case you do not need to enter anything in the section of the civil cover sheet labeled "Citizenship of Principal Parties."
- In the section labeled "Origin," enter an "X" or a "✓" in the box labeled "Original Proceeding."
- In the part of the civil cover sheet section labeled "Nature of Suit," find the section labeled "Civil Rights." Next, enter an "X" or a "✓" in the box labeled "442 Employment."
- In the section labeled "Cause of Action," enter "complaint of employment discrimination."
- In the part of the civil cover sheet labeled "Requested in Complaint," find the section labeled "Demand \$." If you are asking that the court order the defendant to pay you a specific amount of money, enter that amount next to "Demand \$." If you are *not* requesting an award of money, enter "0" next to "Demand \$."

In the same section of the civil cover sheet, enter the same information about your request for a jury trial that you entered in item 15) of your employment discrimination complaint form.

- Refiling a Previously Dismissed Case. If you have previously filed a complaint involving the same claim of discrimination by the same defendant, enter the name of the judge and the case number in the space provided in this section of the civil cover sheet.
- Date and signature. Record the date and sign the civil cover sheet in the space labeled "signature of attorney of record."

Fees

The \$350 filing fee for a civil case has already been mentioned. Once a case has been filed, there are no additional fees for filing a document in that case. However, there are a series of other fees listed below that may affect the pro se litigant. Most of these fees are set by the Judicial Conference of the United States.

These fees may be paid by mail or in person at the Clerk's Office cashier's window, located in the northeast corner of the 20th Floor of the Dirksen Federal Courthouse. Payment may be made in cash, by check, or by credit card (only Visa, Mastercard, and American Express are accepted). If you pay by check, your check should be made out to "Clerk, U.S. District Court." Your name, address, and phone number must appear on the front of your check.

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Filing Fees		
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Civil Case Filing Fee	\$350.00
Habeas Corpus Filing Fee	\$5.00
Foreign Deposition Filing Fee	\$39.00
Registration of a Foreign Judgment	\$39.00
Letters Rogatory or Letters of Request	\$39.00
U.S. Court of Appeals Docketing Fee	\$350.00
U.S. District Court Notice of Appeal	\$5.00
Misdemeanor Appeal (Magistrate Judge to District Judge)	\$32.00
Copy Services	
Certification Fee (per document)	\$9.00
Exemplification Fee (per document)	\$18.00
Copy Fee (per page)	0.50

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Electronic	\$0.10
Copy of Magnetic Tape Recordings	\$26.00
Retrieving a Record From Off-Site Storage	\$45.00
Records Search & Certification (per name or item)	\$26.00
Miscellaneous Fees	
Printed Copy of the Court's Local Rules	\$10.50
Check Returned for Insufficient Funds	\$45.00

A Few Basic Procedures for Ongoing Civil Cases

Format Requirements

Local Rule 5.2(a) covers the format requirements for documents filed with the Court. A copy of Local Rule 5.2(a) has been included in the Appendix. Basically, the Court requires that the documents you file meet the following requirements:

- the document must be on paper that is 8½ by 11 inches in size, flat and unfolded;
- it must be typed or neatly handwritten;
- it must be bound at the top of the document; and
- it must be signed by you on the final page of the document, including your name, address, and telephone number. The document must bear your *original signature*, *not a photocopy of your signature*.

If you present a document for filing to the clerk that does not meet the format requirements set out in Local Rule 5.2(a), a deputy clerk may call your attention to the format problems. The clerk will not refuse to file your document on these grounds, but Rule 5.2 allows the judge to have your document stricken. Therefore, you should read Rule 5.2 and comply with the format requirements.

Document Length

The Court's Local Rules do not limit the length of your complaint. However, if you later file a brief in support of one of your own motions or a brief in response to a motion filed by the defendant, you need to be aware that Local Rule 7.1 sets a limit of 15 pages for documents of this type. If you want to file a brief that is longer than 15 pages, you

need to have the court's permission to do so. To do this, you need to file a separate motion requesting leave to file a brief in excess of 15 pages.

Filing Copies of Documents

Local Rule 5.2(c) requires you to file an extra copy for the judge of any pleading, motion, or other document you file, with the exception of exhibits or depositions.

Filing Motions

In an ongoing civil case you may need to ask the judge to instruct the defendant to do something connected to your case, or you may need to ask the judge to allow you to do something yourself. Examples would be asking the judge to direct the defendant to give you access to certain records during the discovery phase of your case, or asking the judge to grant you an extension of time to file a document. When you request the court to take a specific action, you do so by filing a motion.

Some of the Court's basic procedural rules for motions are contained in Local Rules 5.3 through 5.4 and Rule 78. Copies of these rules are included in the Appendix.

When you file a motion, you must provide a copy of the motion to the defendant and tell the defendant that you are filing the motion. If the defendant has an attorney, the copy of the motion and the notice can go to the attorney . This is called giving the defendant notice of your motion. You also must file a statement with the Court indicating that you have given the defendant the required notice.

If you do not want to appear personally before the judge concerning your motion, you may simply file an original and one copy of your motion with the clerk. Judges hold court sessions to address motions on different days of the week. If you want to appear before the judge in person to formally present your motion, you need to be aware of the judge's motion call, and select a date for presenting the motion that is consistent with the judge's motion practice. In other words, if the judge hears motions on Tuesday and Thursday, do not plan to appear on a Wednesday to present your motion.

Judges also vary in the number of days of advance notice they require for motions. Many require two days of advance notice. Some require more advance notice.

If you want to appear before the judge in person, the notice you give the defendant must specify the date, time, and courtroom where you will appear before the judge. Information on the number of days of advance notice and the standard motion practices of all judges can be obtained from the Clerk's Office. Check this information *before* you get ready to file your motion.

The Clerk's Office

Mailing Address

Eastern Division

The mailing addresses for the two divisions of the Clerk's Office are:

Clerk's Office, U.S. District Court	Clerk's Office, U.S. District Court
219 South Dearborn	United States Courthouse
Chicago, Illinois 60604	211 South Court Street

Western Division

Rockford, Illinois 61101

Office Hours

The Clerk's Office is open to the public Monday through Friday, 8:30 a.m. through 4:30 p.m., except for legal holidays. The intake desk, cashier's window, and the area used to review case dockets and case files are all located in the northeast corner of the 20th floor of the courthouse in Chicago. In the Rockford courthouse, these areas are all located on the 2nd floor.

Reviewing Dockets

Several computer terminals are located in the public area of the 20th floor that allow the public to review automated dockets for civil and criminal cases. These dockets may also be used to check the Court's party index (a list of all parties in civil cases) and case index (a list of case numbers).

Reviewing Case Files

For documents that are received in paper form, case files may be reviewed by completing some files request card and giving the card to the files department desk in the public area of the 20th floor. A deputy clerk will bring you the case file. Case files may not be taken out of the Clerk's Office. If you want to make a copy of a document in the case file, you may use coin-operated photocopiers located in the public area (25¢ per page). The Clerk's Office will provide you with copies of documents for 50¢ per page.

The Court's Web Site

Information about procedures, the local rules, fees, as well as several other subjects covered in this guide may be found on the Court's internet web site. The web site also lists the activity scheduled before each judge for the coming week. The site also has links to several other judicial web sites. If you have access to the internet, the address of the Court's web site is http://www.ilnd.uscourts.gov.

Getting Legal Help

The Young Lawyers Section of the Chicago Bar Association and the Chicago Council of Lawyers have each produced legal guides that include lists of organizations and agencies that may be able to help pro se litigants. The Young Lawyers Section of the Chicago Bar Association has prepared a handbook on legal proceedings called "Your Guide to the Law: A Basic Legal Handbook for Chicagoans." This pamphlet contains a list of agencies and organizations that provide free or low-cost legal services. The Chicago Council of Lawyers and The Fund For Justice have prepared a "Legal Services Directory for Free and Low Cost Legal Services in the Chicago Metropolitan Area."

The groups listed below are drawn from the CBA and Chicago Council/Fund for Justice material. These groups provide legal services covering a wide variety of topics, including adoption, bankruptcy, criminal matters, divorce and related family matters, employment, immigration, landlord-tenant problems, probate and social security. Some of these services deal with topics that may fall within the jurisdiction of this Court. Since some of the agencies and organizations listed do not handle all types of legal matters, you should call or write the agency for specific information.

Access Living 310 S. Peoria St. Suite 201 Chicago, Illinois 60607 (312) 226-5900 TDD (312) 226-1687

AIDS Legal Council of Chicago 220 S. State Street, Room 1330 Chicago, Illinois 60604 (312) 427-8990 American Jewish Cong. Legal Clinic 22 West Monroe Suite 1900 Chicago, Illinois 60603 (312) 679-8289

Association House of Chicago 2150 W. North Ave. Chicago, Illinois 60646 (773) 276-0084, ext. 236 Cabrini-Green Legal Aid Clinic 206 W. Division St. Chicago, Illinois 60610 (312) 266-1345

Chicago Coalition for the Homeless Law Project 1325 S. Wabash Ave. Suite 205 Chicago, Illinois 60605 (312) 435-4548

Chicago Legal Aid to Incarcerated Mothers 205 W. Randolph St. Suite 830 Chicago, Illinois 60606 (312) 332-5537

Chicago Legal Clinic

- 1) South Office 2938 E. 91st St Chicago, Illinois 60617 (773) 731-1762
- 2) Austin Office 118 N. Central Ave. Chicago, Illinois 60644 (773) 854-1610
- 3) Pilsen Office 1909 S. Ashland Ave. Chicago, Illinois 60608 (773) 226-2669
- 4) Roseland Office 11015 S. Michigan Ave. Chicago, Illinois 60628 (773) 660-8854

Chicago Volunteer Legal Services Foundation (CVLS) 100 N. LaSalle, Suite 900 Chicago, Illinois 60602 (312) 332-1624 (NOTE: CVLS has many legal clinics throughout Chicago. Call for specific locations.)

Community Economic Development Law Project 188 West Randolph Suite 2103 Chicago, Illinois 60601 (312) 939-3638

Cook County Legal Assistance Foundation, Inc.

- 1) North Suburban Office 828 Davis St. Suite 201 Evanston, Illinois 60201 (847) 475-3703 TDD (847) 475-5580
- South Suburban Office
 15325 S. Page Ave.
 Harvey, Illinois 60426
 (708) 339-5550
 TDD (708) 339-5564
- West Suburban Office
 1146 Westgate St. Suite 200
 Oak Park, Illinois 60301
 (708) 524-2600
 TDD (708) 524-2633

Cook County Legal Assistance Foundation Senior Citizens Legal Service 828 Davis St. Suite 201 Evanston, Illinois 60201 (847) 475-3703 DePaul Legal Clinic 23 E. Jackson Blvd. Room 950 Chicago, Illinois 60604 (312) 341-8294

Equipped for Equality, Inc., The PAIR Project 11 E. Adams, Suite 1200 Chicago, Illinois 60603 (312) 341-0022 or (800) 537-2632

Kent College of Law Clinic: Advice Desk 565 W. Adams St. Suite 600 Chicago, Illinois 60661 (312) 906-5050

John Marshal Law School Fair Housing Legal Clinic 28 East Jackson Blvd. Suite 500 Chicago, Illinois 60604 (312) 786-2267

Land of Lincoln Legal Assistance Foundation 413 E. Broadway Alton, Illinois 62002 (618) 462-0036

Lawyers' Committee
For Better Housing
407 S. Dearborn St. Suite 1075
Chicago, Illinois 60605
(312) 347-7600

Lawyers for the Creative Arts 213 W. Institute Place Suite 411 Chicago, Illinois 60610 (312) 944-2787 Leadership Council for Metropolitan Open Communities 401 S. State St. Suite 860 Chicago, Illinois 60605 (312) 341-5678 or (312) 341-3678 TDD (312) 341-5679

Legal Advocacy Service of the Guardianship and Advocacy Commission 160 N. LaSalle St. Suite S-500 Chicago, Illinois 60601 (312) 338-7500 TDD (312) 793-5937

Legal Aid Bureau of Metropolitan Family Services 14 E. Jackson Blvd. 15th Floor Chicago, Illinois 60604 (312) 986-4200

Legal Assistance Foundation of Chicago General Intake Office 111 West Jackson Chicago, Illinois 60604 (312) 341-1070 TDD (312) 431-1206

Life Span Center for Legal Services 20 E. Jackson Blvd. Suite 500 Chicago, Illinois 60604 (312) 408-1210

Loyola University Community Law Center One E. Pearson Suite 202 Chicago, Illinois 60610 (312) 915-7830 Mandel Legal Aid Clinic University of Chicago Law School 6020 South University Ave. Chicago, Illinois 60637 (773) 702-9611

Mexican American Legal Defense and Educational Fund 542 S. Dearborn St. Room 750 Chicago, Illinois 60604 (312) 427-9363

Northwestern University Legal Assistance Clinic 357 E. Chicago Ave. Room 375 Chicago, Illinois 60611 (312) 503-8576 TDD (312) 503-4472

Poverty Law Project National Clearinghouse for Legal Services 205 W. Monroe St. 2nd Floor Chicago, Illinois 60606 (312) 263-3830

Prairie State Legal Services, Inc. 415 W. Washington St. Suite 002 Waukegan, Illinois 60085 (847) 662-6925 TDD (847) 662-9920

Pro Bono Advocates 50 W. Washington St. Richard J. Daley Center CL 88 Chicago, Illinois 60602 (312) 629-6945 Travelers and Immigrants Aid of Chicago and Midwest Immigrants Rights Center 1) 208 S. LaSalle St. Suite 1818 Chicago, Illinois 60604

2) 4750 N. Sheridan Rd. Chicago, Illinois 60640 (312) 435-2900

(312) 629-1960

3) 1817 S. Loomis St. Chicago, Illinois 60608 (312) 435-4550

Uptown People's Law Center 4404 North Broadway St. Chicago, Illinois 60640 (773) 769-1411

World Relief 3507 W. Lawrence Ave. Suite 206 Chicago, Illinois 60625 (773) 583- 9191

Referral Services

(The following organizations will refer you to an attorney if you can afford to pay for legal services)

American Civil Liberties Union 20 E. Jackson Blvd. 16th Floor Chicago, Illinois 60604 (312) 427-7330

The Ark 2341 W. Devon Ave. Chicago, Illinois 60659 (312) 973-1000

Casa Central Hispanic Social Service Agency 2222 N. Kedzie Blvd. Chicago, Illinois 60604 (312)

Chicago Bar Association Lawyer Referral Service 321 S. Plymouth Court Chicago, Illinois 60604 (312) 554-2001

Chicago Council of Lawyers 220 S. State St. Chicago, Illinois 60604 (312) 427-0710

Cook County Bar Association 175 W. Jackson Blvd. Chicago, Illinois 60604 (312) 341-0053

Hispanic Lawyers Association 8 S. Michigan Ave. Room 720 Chicago, Illinois 60603 (312) 782-6066 IIT-Chicago-Kent College of Law Law Offices 565 West Adams, Ste. 600 Chicago, Illinois 60661 (312) 906-5050

Lesbian & Gay Bar Association of Chicago 3225 N. Sheffield Ave. Chicago, Illinois 60657 (312) 334-1637

Mujeres Latinas in Action 1823 W. 17th St. Chicago, Illinois 60605 (312) 226-1544

North Suburban Bar Association 1441 Shermer Road Suite 110 Northbrook, Illinois 60062 (847) 564-4800

Northwest Suburban Bar Association 1350 W. Northwest Highway Mount Prospect, Illinois 60056 (847) 253-2562

South Suburban Bar Association Lawyer Referral Service 3612 W. 21st St. Suite 16 Olympia Fields, Illinois 60461 (708) 957-6260

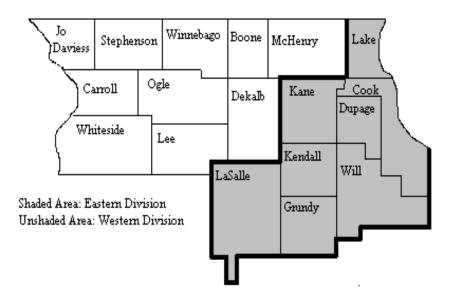
Southwest Bar Association P.O. Box 140 Oak Lawn, Illinois 60454 (708) 636-7415 West Suburban Bar Association 320 Circle Avenue Forest Park, Illinois 60130 (708) 366-1122

Women's Bar Association of Illinois Referral Service 20 N. Clark Suite 1725 Chicago, Illinois 60602 (312) 346-9224

APPENDIX: SAMPLES OF FORMS AND LOCAL RULES

- MAP OF THE NORTHERN DISTRICT OF ILLINOIS
- APPEARANCE FORM
- CIVIL COVER SHEET
- SUMMONS
- NOTICE OF LAWSUIT AND WAIVER OF SERVICE
- WAIVER OF SERVICE
- MOTION FOR APPOINTMENT OF COUNSEL
- IN FORMA PAUPERIS PETITION
- COMPLAINT OF EMPLOYMENT DISCRIMINATION
- NOTICE OF MOTION AND CERTIFICATE OF SERVICE
- LOCAL RULES 5.2 5.4
- LOCAL RULE 78
- RULE 4 OF THE FEDERAL RULES OF CIVIL PROCEDURE

THE NORTHERN DISTRICT OF ILLINOIS



APPEARANCE FORM FOR PRO SE LITIGANTS DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS

Information entered on this form is required for any person filing a case in this court as a pro se party (that is, without an attorney).

NAME:		
	(Please print)	
STREET ADDRESS:		
CITY/STATE/ZIP:		
PHONE NUMBER:		
CASE NUMBER:		
Signature		Date

CIVIL COVER SHEET

The civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form isrequired for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

(a) PLAINTIFFS		· ',		DEFENDAN	TS	
(b) County of Residence (EX (c) Attorney's (Firm Nam	CEPT IN U.S. PLAINTIFI	,		NOTE: IN LAN	ence of First Listed (IN U.S. PLAINTIFF CASE D CONDEMNATION CASES, U INVOLVED. own)	,
II. BASIS OF JURISD	ICTION (Place an "X"	in One Box Only)		ZENSHIP OF P versity Cases Only)	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff and One Box for Defendant)
□ 1 U.S. Government Plaintiff □ 2 U.S. Government Defendant	☐ 4 Diversity (Indicate Citiz	ment Not a Party) zenship of Parties	Citizen	of Another State	1 □ 1 Incorporated or of Business In 2 □ 2 Incorporated an	$\begin{array}{c cccc} & \textbf{PTF} & & \textbf{DEF} \\ \text{Principal Place} & \square & 4 & \square & 4 \end{array}$
	in Item III)			or Subject of a ☐ ign Country	3 □ 3 Foreign Nation	□ 6 □ 6
IV. NATURE OF SUI			1			
CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excl. Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle Product Liability 360 Other Personal Inj. CIVIL RIGHTS 441 Voting 442 Employment 443 Housing/ Accommodations 444 Welfare 440 Other Civil Rights	PERSONAL INJUR 362 Personal Injury— Med. Malpractice 365 Personal Injury— Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPEE 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage Property Damage Product Liability PRISONER PETITION 510 Motions to Vacat Sentence Habeas Corpus: 530 General 535 Death Penalty 540 Mandamus & Ot 550 Civil Rights 555 Prison Condition	RY 610 620 620 620 620 630	Difference of the control of the con	□ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 840 Trademark SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI	OTHER STATUTES 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce/ICC Rates/etc. 460 Deportation 470 Racketeer Influenced and Corrupt Organizations 810 Selective Service 850 Securities/Commodities/ Exchange 12 USC 3410 891 Agricultural Acts 892 Economic Stabilization Act 893 Environmental Matters 894 Energy Allocation Act 895 Freedom of Information Act 900 Appeal of Fee Determination Under Equal Access to Justice 950 Constitutionality of State Statutes 890 Other Statutory Actions
V. ORIGIN Driginal 2 R Proceeding Si VI. CAUSE OF ACTION	ON (Enter U.S. Civil Sta write a brief stateme	Remanded from Appellate Court atute under which you a ent of cause.)	Reoper	vII. PREVI suit 422 and 42 bankruptcy mat separate attachi	IOUS BANKRUPTCY 3, enter the case number and jutter previously adjudicated by ment if necessary.)	MATTERS (For nature of udge for any associated a judge of this Court. Use a
VIII. REQUESTED IN COMPLAINT:	☐ CHECK IF THI UNDER F.R.C.	S IS A CLASS ACTIO P. 23	ON DEM	IAND \$	CHECK YES only JURY DEMAND:	if demanded in complaint: ☐ Yes ☐ No
IX. This case	is not a refiling of a previse a refiling of case number	viously dismissed actio	, p	reviously dismissed		U 100 U 110
DATE		SIGNATURE OF AT	TORNEY OF	RECORD		

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET

Authority For Civil Cover Sheet

The civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, based on a form approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.** (a) **Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b.) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- **II. Jurisdiction**. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States, are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- **III. Residence** (citizenship) of Principal Parties. This section of the JS-44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV. Nature of Suit.** Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section IV below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- **V. Origin**. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

 $Transferred \ from \ Another \ District. \ (5) \ For \ cases \ transferred \ under \ Title \ 28 \ U.S.C. \ Section \ 1404(a) \ Do \ not \ use \ this \ for \ within \ district \ transfers \ or \ multidistrict \ litigation \ transfers.$

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause.
- VII. Previous Bankruptcy Matters For bankruptcy appeals (nature of suit 422) and withdrawals of reference (nature of suit 423), enter the case number and assigned judge for any associated bankruptcy matter previously adjudicated by a judge of this Court. Use a separate attachment if necessary.
- VIII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

IX. Refiling Information. Use this section to indicate whether or not the case is a refiling of a previously dismissed action. If the case is a refiling, enter the previous case number and the name of the district or magistrate judge who dismissed the case.

Date and Attorney Signature. Date and sign the civil cover sheet.

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

SUMMONS IN A CIVIL CASE

	CASE NUMBER:
V.	Assigned Judge:
	DESIGNATED MAGISTRATE JUDGE:
TO: (Name and address of Defendant)	
YOU ARE HEREBY SUMMONED and required to	serve upon PLAINTIFF'S ATTORNEY (name and address)
an answer to the complaint which is herewith served upon y summons upon you, exclusive of the day of service. If you the relief demanded in the complaint. You must also file yo of time after service.	ou, within days after service of this a fail to do so, judgment by default will be taken against you for ur answer with the Clerk of this Court within a reasonable period
MICHAEL W. DOBBINS, CLERK	
(By) DEPUTY CLERK	DATE

		RETURN OF	SERVICE			
Se	rvice of the Summons and complaint was made	by me ⁽¹⁾	DATE		-	
NAME OF SI	ERVER (PRINT)		TITLE			
Chec	ck one box below to indicate ap	propriate m	nethod of service			
	☐ Served personally upon the defendant. Place where served:					
	Left copies thereof at the defendant's dwelli discretion then residing therein.	ng house or usual	l place of abode with a pe	erson of suitable age and		
	Name of person with whom the summons ar	nd complaint were	e left:			
	Returned unexecuted:					
	Other (specify):					
	CT A TE	MENT OF	SEDVICE FEE			
ΓRAVEL	SIAIE		SERVICE FEE	TOTAL		
	DECI	LARATION	N OF SERVER			
	I declare under penalty of perjury und ained in the Return of Service and Statement			a that the foregoing information		
Exec	Date S	Signature of Server				
	_			_		
	A	Address of Server				

⁽¹⁾ As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

United States District Court, Northern District of Illinois

Notice of Lawsuit and Request for Waiver of Service of Summons

_	of
	(Title, or other relationship of individual to corporate defendant) (Name of Corporate defendant, if any)
(A lawsuit has been commenced against you (or the entity on whose behalf you are addressed). A copof the complaint is attached to this notice. It has been filed in the United States District Court for the Northe
]	District of Illinois. This lawsuit has been assigned case number
	This is not a formal summons or notification from the court, but rather my request that you sign and rethe enclosed waiver of service in order to save the cost of serving you with a judicial summons and an additional copy of the complaint. The cost of service will be avoided if I receive a signed copy of the waiver within
	days after the date designated below as the date on which this Notice and Request is sent
1	[NOTE: the addressee must be given at least 30 days (60 days if located in a foreign country) in which to return the waiver]. I enclose a stamped and addressed envelope (or other means of cost-free return) for your use. An extra copy of the waiver is also attached for your records.
•	If you comply with this request and return the signed waiver, it will be filed with the court and no summons will be served on you. The action will then proceed as if you had been served on the date the waiver is filed, except that you will not be obligated to answer the complaint before 60 days from the date designated below as the date on which this notice is sent (or before 90 days from that date if your address is not in any judicial district of the United States).
ŧ	If you do not return the signed waiver within the time indicated, I will take appropriate steps to effect formal service in a manner authorized by the Federal Rules of Civil Procedure and will then, to the extent authorized by those Rules, ask the court to require you (or the party on whose behalf you are addressed) to pay the full costs of such service. In that connection, please read the statement concerning the duty of parties to waive the service of the summons, which is set forth at the foot of the waiver form.
]	I affirm that this request is being sent to you on behalf of the plaintiff, this

United States District Court, Northern District of Illinois

Waiver of Service of Summons

	(Name of Plaintiff'	's Attorney or Unrepresented Plai	ntiff)
		Name of Defendant)	
acknowledge receip	t of your request that I	waive the service of summons in	the action of
		, which is cas	e number
	(Caption of Action	n)	(Docket Number)
in the United States	District Court for the N	Northern District of Illinois.	
	ved a copy of the comp he signed waiver to you	plaint in the action, two copies of a without cost to me.	this instrument, and a means by
	(or the entity on whose	a summons and an additional copy behalf I am acting) be served wit	
		cting) will retain all defenses or ol r objections based on a defect in t	
I understand that a j	udgment may be entere	ed against me (or the party on who	ose behalf I am acting) if an
answer or motion un	nder Rule 12 is not serv	ved upon you within 60 days after	·
			(Date)
or within 90 days af	ter that date if the requ	est was sent outside the United St	tates.
(Date)		(Signatu	re)
Prin	nted/Typed Name:		
			_
	of		
(Title)		(Corporate De	fendant)

Duty to Avoid Unnecessary Costs of Service of Summons

Rule 4 of the Federal Rules of Civil Procedure requires certain parties to cooperate in saving unnecessary costs of service of the summons and complaint. A defendant located in the United States who, after being notified of an action and asked by a plaintiff located in the United States to waive service of summons, fails to do so will be required to bear the cost of such service unless good cause be shown for its failure to sign and return the waiver.

It is not good cause for a failure to waive service that a party believes that the complaint is unfounded, or that the action has been brought in an improper place or in a court that lacks jurisdiction over the subject matter of the action or over its person or property. A party who waives service of the summons retains all defenses and objections (except any relating to the summons or to the service of the summons), and may later object to the jurisdiction of the court or to the place where the action has been brought.

(Rev. 03/09)

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION

Pla	Plaintiff(s))	
)	Case Number:
	V.)	
Dei	Defendant(s))	Judge:
)	
	MOTION FOR APPOIN	NTMENT O	F COUNSEL
	[NOTE: Failure to complete all items in this	form may r	esult in the denial of this motion]
1.	l. <u>I,</u>	, de	eclare that I am the (check appropriate box)
	plaintiff defendant in the above-entitled the services of an attorney, and hereby request the Co	-	and state that I am unable to afford nt counsel to represent me in this proceeding
2.	• • •		
2.	representation (NOTE: This item must be complete		ving accorneys, organizations seeking
	but I have been unable to find an attorney because:		
3.	3. In further support of my motion, I declare that (check	k appropriate	box):
	I am not currently, nor previously have been, rein this or any other civil or criminal proceeding		• 11
	I am currently, or previously have been, represe in the proceeding(s) described on the back of the	· ·	ttorney appointed by the Court
4.	4. In further support of my motion, I declare that (check	k appropriate	box):
	I have attached an original Application for Leader detailing my financial status.	ve to Proceed	In Forma Pauperis in the proceeding
	I have previously filed an Application for Leav it is a true and correct representation of my fina		In Forma Pauperis in this proceeding, and
	I have previously filed an Application for Leav However, my financial status has changed and Forma Payneris to reflect my current financial	I have attach	

5.	(Check one box) In further support of my m	notion, I declare that my highest level of education is:
	Grammar school only Some hig	gh school High school graduate
	Some college College g	
6.	(Check only if applicable) In further suppor and/or read English is limited because Engl	ort of my motion, I declare that my ability to speak, write, glish is not my primary language
7.		ort of my motion, I declare that this form and other complaint corney from the U.S. District Court <i>Pro Se</i> Assistance Program.
8.	I declare under penalty that the foregoing is	s true and correct.
	Movant's Signature	Street Address
	Date	City, State, ZIP
	ndicated in paragraph three on the preceding page, mey appointed by this Court in the civil or criminal	, I am currently, or previously have been, represented by an al actions listed below.
Assi	gned Judge:	Case Number:
Case	e Title:	
App	ointed Attorney's Name:	
If thi	is case is still pending, please check box	
Assi	gned Judge:	Case Number:
Case	Title	
App	ointed Attorney's Name:	
	is case is still pending, please check box	
Assi	gned Judge:	Case Number:
Case	e Title:	
App	ointed Attorney's Name:	
	is case is still pending, please check box	

b.

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS

IN FORMA PAUPERIS APPLICATION AND FINANCIAL AFFIDAVIT

			FINANCIA	AND L AFFIDAVIT		
	Plainti	ff	_,			
	v.		Cosa Namban			
			Case Number:			
	Defend	lant(s)	Judge:			
write a que the ac	that resp stion or t dditional	onse. Wherever a loo explain your ansinformation. Plea	y question. Do not leave any blar box is included, place an X in whi wer, attach an additional page that se print or type your answers.	chever box applie t refers to each su	s. If you need ruch question by	nore space to answer number and provide
<u>Appli</u>	ication:	[,		declare that I am t	he \square plaintiff \square	petitioner movant
			or \square in support of my motion for these proceedings, and I believes			
			d. In support of my application			
perju	-	TI	J TI	,	8 1	
1.	•	ou currently incarco		□ Yes	□ No (If "No	o," go to Question 2)
	Do yo Month	u receive any payn lly amount:	Name of prison or jail: nent from the institution?	□ Yes	□No	
2.	Are yo	ou currently emplo If the answer is " Monthly salary of Name and addres	yed? yes," state your: wages: s of employer:	□ Yes	□No	-
	b.	If the answer is "Beginning and en Last monthly sala Name and addres	no,"state your: ding dates of last employment: _ ry or wages: s of last employer:			- -
3.	Are yo	ou married? If the answer is " Spouse's monthly Name and addres	yes," is your spouse currently en salary or wages:s of spouse's employer:	□ Yes nployed? □ Yes	□ No □ No	- -
4.	<i>or any</i> follow	one else living at the ing sources? Mark	he stated above in response to Que the same residence received more an X next to "Yes" or "No" in each and fill in the twelve-month total	than \$200 in the ach of the categoria	past twelve mo	onths from any of the
	a.	☐ Salary or ☐ wa	nges Received by:	□Yes	□No	_

 \Box Yes

□No

☐ Business, ☐ profession or ☐ other self-employment Amount: _____ Received by: _____

	☐ Rental income, ☐ interest or ☐ dividends Amount: Received by:	□Yes	□No
d.	☐ Pensions, ☐ social security, ☐ annuities, ☐ life i☐ alimony or maintenance or ☐ child support Amount: Received by:	nsurance, □ disabili □Yes	ty,□ workers' compensation, □No
e.	☐ Gifts or ☐ inheritances Amount: Received by:	□Yes	□No
f.	☐ Unemployment, ☐ welfare or ☐ any other public Amount: Received by:	c assistance □Yes	□No
g.	☐ Any other sources (describe source: Amount: Received by:	_)	□No
acco	cou or anyone else living at the same residence have unts? I amount: Relationsh	□Yes □N	0
instr	ou or anyone else living at the same residence own uments? ———————————————————————————————————	\Box No	
inclu Type Curre betw In wl	des, among other things, a house, apartment, condomine of property and address: ent value: ent was the property is worth and the amount you ownose name held: unt of monthly mortgage or loan payments: e of person making payments:	nium, cooperative, two	wo-flat, etc. \(\sum Yes \) \(\sum No \) \(\sum \) (Equity is the difference
Do y	ou or anyone else living at the same residence own an \$1000?	y automobiles with	a current market value of more
what	the automobile is worth and the amount you owe on in unt of monthly loan payments: hose name held: e of person making payments:	t)	
	ou or anyone else living at the same residence own a onal property with a current market value of more than erty: ent value: Equity:	1 \$1000? □Yes	\Box No
Prop			\ 1 - 1
Prop Curre betw	erty: ent value: een what the property is worth and the amount you over the property is worth and the property is	ve on it.) ship to you:	

1 1 1 1	information is true and correct. I understand that 28 U.S.C. § ase at any time if the court determines that my allegation of poverty
Date:	Signature of Applicant
	(Print Name)

NOTICE TO PRISONERS: In addition to the Certificate below, a prisoner must also attach a print-out from the institution(s) where he or she has been in custody during the last six months showing all receipts, expenditures and balances in the prisoner's prison or jail trust fund accounts during that period. Because the law requires information as to such accounts covering a full six months before you have filed your lawsuit, you must attach a sheet covering transactions in your own account – prepared by <u>each institution</u> where you have been in custody during that six-month period. As already stated, you must also have the Certificate below completed by an authorized officer at each institution.

CERTIFICATE (Incarcerated applicants only) (To be completed by the institution of incarceration)

I certify that the applicant named herein,		, I.D.#	, has the sum of \$
on account to his/her credit a	t (name of institution)		I further certify
that the applicant has the following securities	s to his/her credit:	I furt	her certify that during the past
six months the applicant's average monthly	deposit was \$	(<u>Add</u> all	deposits from all sources and
then <u>divide</u> by number of months).			
Date	Signature of Authori	zed Officer	
	(Print N	ame)	

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

(Name of the plaintiff or plaintiffs v.	CIVIL ACTI NO	ION	
(Name of the defendant or defend	ants)		
COMPLA	AINT OF EMPLOYMENT D	ISCRIMINATION	
1. This is an action for employm	ent discrimination.		
2. The plaintiff is			of the county of
	in the state of		
3. The defendant is			_, whose street address
is			,
(city)(county)	(state)	(ZIP)	_
(Defendant's telephone number)	() –		
II The plaintiff sought empl	oyment or was employed	by the defendant	at (street address)
		(city)	
(county) (state)_	(ZIP code)		
5. The plaintiff [<i>check one box</i>]			
(a) was denied employ	ment by the defendant.		
(b) was hired and is sti	ll employed by the defendant.		
(c) was employed but	is no longer employed by the de	efendant.	
6. The defendant discriminate	d against the plaintiff on	or about, or begin	nning on or about,
(month), (day), (year)		

7.1	(Choos	e paragraph 7.1 or 7.2, do NOT complete both.)
		(a) The defendant is not a federal governmental agency, and the plaintiff [check one box]
		\square has not \square has filed a charge or charges against the defendant asserting the acts of
		discrimination indicated in this complaint with any of the following government agencies:
	(i)	the United States Equal Employment Opportunity Commission, on or about (month)(day)(year)
	(ii)	the Illinois Department of Human Rights, on or about (month)(day)(year)
(b)	If charg	ges were filed with an agency indicated above, a copy of the charge is ttached. YES. NO,
_		will file a copy of the charge within 14 days. y of both the Equal Employment Opportunity Commission and the Illinois Department of Human
Right	ts to cros	ss-file with the other agency all charges received. The plaintiff has no reason to believe that this
polic	y was no	ot followed in this case.
7.2		defendant is a federal governmental agency, and eplaintiff previously filed a Complaint of Employment Discrimination with the defendant asserting
	the ac	ts of discrimination indicated in this court complaint.
		Yes (month) (day) (year)
		No, did not file Complaint of Employment Discrimination
	2.	The plaintiff received a Final Agency Decision on (month)
	c.	(day) (year) Attached is a copy of the
		a. Complaint of Employment Discrimination,
		YES NO, but a copy will be filed within 14 days.
	(ii)	Final Agency Decision
		YES NO, but a copy will be filed within 14 days.
8.	(Comp	plete paragraph 8 only if defendant is not a federal governmental agency.)
•	(a)	the United States Equal Employment Opportunity Commission has not issued a Notice of Right
		to Sue.

	Sue, which was received by the plaintiff on (month)	(day)
	(year) a copy of which <i>Notice</i> is attached to this complaint.	
The	defendant discriminated against the plaintiff because of the plaintiff's [check on	ly those that apply]:
(a)	Age (Age Discrimination Employment Act).	
(b)	Color (Title VII of the Civil Rights Act of 1964 and 42 U.S.C. §1981).	
(c)	Disability (Americans with Disabilities Act or Rehabilitation Act)	
(d)	National Origin (Title VII of the Civil Rights Act of 1964 and 42 U.S.C.	§1981).
(e)	Race (Title VII of the Civil Rights Act of 1964 and 42 U.S.C. §1981).	
(f)	Religion (Title VII of the Civil Rights Act of 1964)	
(g)	Sex (Title VII of the Civil Rights Act of 1964)	
If th	ne defendant is a state, county, municipal (city, town or village) or other local go	vernmental agency,
-	ntiff further alleges discrimination on the basis of race, color, or national origin sdiction over the statutory violation alleged is conferred as follows: for Titl	, - ,
U.S.	.C.§1331, 28 U.S.C.§1343(a)(3), and 42 U.S.C.§2000e-5(f)(3); for 42 U.S.C.§	§1981 and §1983 by
42 U	U.S.C.§1988; for the A.D.E.A. by 42 U.S.C.§12117; for the Rehabilitation Ac	t, 29 U.S.C. § 791.
The	defendant [check only those that apply]	
(a)	failed to hire the plaintiff.	
(b)	terminated the plaintiff's employment.	
(c)	failed to promote the plaintiff.	
(d)	failed to reasonably accommodate the plaintiff's religion.	
(e)	failed to reasonably accommodate the plaintiff's disabilities.	
(f)	failed to stop harassment;	
(g)	retaliated against the plaintiff because the plaintiff did something to as	ssert
righ	hts protected by the laws identified in paragraphs 9 and 10 above;	
(h)	other (specify):	

3.	The fa	acts supporting the plaintiff's claim of discrimination are as follows:
4.	_	DISCRIMINATION ONLY] Defendant knowingly, intentionally, and willfully discriminated at the plaintiff.
5.	Ū	laintiff demands that the case be tried by a jury. YES NO
	THER	EFORE, the plaintiff asks that the court grant the following relief to the plaintiff [check only tapply]
(a)		Direct the defendant to hire the plaintiff.
(b)		Direct the defendant to re-employ the plaintiff.
(c)		Direct the defendant to promote the plaintiff.
(d)		Direct the defendant to reasonably accommodate the plaintiff's religion.
(e)		Direct the defendant to reasonably accommodate the plaintiff's disabilities.
(f)		Direct the defendant to (specify):
(g)		If available, grant the plaintiff appropriate injunctive relief, lost wages, liquidated/double damages, front pay, compensatory damages, punitive damages, prejudgment interest, post-judgment interest, and costs, including reasonable attorney fees and expert witness fees.
(h)		Grant such other relief as the Court may find appropriate.

Plaintiff's signature				
Plaintiff's name				
Plaintiff's street address				
City	State	ZIP		
Plaintiff's telephone number				
			Date:	

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

Plaintiff v.))) Case Nu) Judge:	ımber:	
Defendant NOTICE O)))F MOTION		
TO:			
PLEASE TAKE NOTICE that on thereafter as I may be heard, I shall apportant and judge sitting in his or her stead it	pear before the Hon	orable Judge	
Northern District of Illinois, Eastern Dispresent the following motion attached h	,	earborn St., Chi	icago, Illinois and shall
CEI	RTIFICATE OF SE	RVICE	
I hereby certify that on	, I provided se	rvice to the pers	on or persons listed above
by the following means:			
Signature:		Date:	
Name (Print):			
Address:	Pl	hone:	

LOCAL RULES 5.2 — 5.4

LR5.2. Form of Papers Filed

- (a) PAPER AND FONT SIZE. Each document filed shall be flat and unfolded on opaque, unglazed, white paper approximately 8 ½ x 11 inches in size. It shall be plainly written, or typed, or printed, or prepared by means of a duplicating process, without erasures or interlineations which materially deface it. It shall be bound or secured on the top edge of the document. Where the document is typed, line spacing will be at least 1½ lines. Where it is typed or printed, (1) the size of the type in the body of the text shall be 12 points and that in footnotes, no less than 11 points, and (2) the margins, left-hand, right-hand, top, and bottom, shall each be 1 inch.
- (b) DOCUMENTS NOT COMPLYING MAY BE STRICKEN. Any document that does not comply with this rule shall be filed subject to being stricken by the court.
- (c) JUDGE'S COPY. Each person or party filing a pleading, motion, or document, other than a deposition or exhibit, shall file in addition to the original a copy for use by the court.

LR5.3. Motions: Notice of

- (a) SERVICE. Except in the case of an emergency or unless otherwise ordered, written notice of the intent to present a motion specifying the date on which the motion is to be presented, a copy of the motion and any accompanying documents must be served as follows:
 - (1) *Personal service*. Personal service must be accomplished no later than 4:00 p.m. of the second business day preceding the date of presentment. Personal service shall include actual delivery within the time specified by this section by a service organization providing for delivery within a specified time (e.g., overnight service) or by electronic transmission ("FAX").
 - (2) *Mail service*. Where the service is by mail, the notice and documents shall be mailed at least five business days before the date of presentment. *Ex parte* motions and motions presented on stipulation may be presented without notice.
- (b) PRESENTMENT. Every motion shall be accompanied by a notice of presentment specifying the date and time on which, and judge before whom, the motion is to be presented. The date of presentment shall be not more than 10 business days following the date on which the motion is delivered to the court pursuant to LR78.1.

LR5.4. Motions: Filing Notice & Motion

Filing of papers shall be with the clerk unless a particular judge has provided for filing in the judge's chambers. The clerk shall maintain a list of the delivery requirements of each judge and post a copy in a public area of the clerk's office.

Where a motion is delivered to the clerk that does not comply with the scheduling requirements established by the judge pursuant to LR78.1 or is scheduled before a judge who, pursuant to this rule, has directed that the motions are to be delivered to the minute clerk assigned to the judge or to the judge's chambers, the clerk shall inform the person offering the motion of the correct procedure. If the person insists on delivering it to the clerk, the clerk shall accept it and attach to it a note indicating that the person delivering it was advised of the scheduling or delivery requirements.

LOCAL RULE 78

LR78.1. Motions: Filing in Advance of Hearing

Except where a judge fixes a different time in accordance with this rule, the original of any motion shall be filed by 4:30 p.m. of the *second* business day preceding the date of presentment by counsel whose offices are located in Chicago. Counsel whose offices are outside of the Chicago city limits who wish to file the motion on the date or presentment shall give the minute clerk notice of the motion by telephone by 4:30 p.m. of the *second* business day preceding the date of presentment and file the notice and motion in open court on the date of presentment.

A judge may fix a time for delivery longer than that provided by this rule, or elect to hear motions less frequently than daily, or both. In those instances where a judge elects to fix a longer delivery time, or hear motions less frequently than daily, or both, the judge shall notify the clerk in writing of the practice to be adopted. The clerk shall maintain a list of the current motion practices of each of the judges at the assignment desk.

LR78.2. Motions: Denial for Failure to Prosecute

Where the moving party, or if the party is represented by counsel, counsel for the moving party, delivers a motion without the notice required by LR5.3(b) and fails to serve notice of a date of presentment within 10 days of delivering the copy of the motion to the court as provided by LR5.4, the court may on its own initiative deny the motion.

LR78.3. Motions: Briefing Schedules; Oral Arguments; Failure to File Brief

The court may set a briefing schedule. Oral argument may be allowed in the court's discretion. Failure to file a supporting or answering memorandum shall not be deemed to be a waiver of the motion or a withdrawal of opposition thereto, but the court on its own motion or that of a party may strike the motion or grant the same without further hearing. Failure to file a reply memorandum within the requisite time shall be deemed a waiver of the right to file.

LR78.4. Motions: Copies of Evidentiary Matter to be Served

Where evidentiary matter, in addition to affidavits permitted or required under Rules 5 or 6 of the Federal Rules of Civil Procedure, will be submitted in support of a motion, copies thereof shall be served with the notice of motion.

LR78.5. Motions: Request for Decision; Request for Status Report

Any party may on notice provided for by LR5.3 call a motion to the attention of the court for decision.

Any party may also request the clerk to report on the status of any motion on file for at least seven months without a ruling or on file and fully briefed for at least sixty days. Such requests will be in writing. On receipt of a request the clerk will promptly verify that the motion is pending and meets the criteria fixed by this section. If it is not pending or does not meet the criteria, the clerk will so notify the person making the request. If it is pending and does meet the criteria, the clerk will thereupon notify the judge before whom the motion is pending that a request has been received for a status report on the motion. The clerk will not disclose the name of the requesting party to the judge. If the judge provides information on the status of the motion, the clerk will notify all parties. If the judge does not provide any information within ten days of the clerk's notice to the judge, the clerk will notify all parties that the motion is pending and that it has been called to the judge's attention.

RULE 4 OF THE FEDERAL RULES OF CIVIL PROCEDURE

SUMMONS

(a) Form.

The summons shall be signed by the clerk, bear the seal of the court, identify the court and the parties, be directed to the defendant, and state the name and address of the laintiff's attorney or, if unrepresented, of the plaintiff. It shall also state the time within which the defendant must appear and defend, and notify the defendant that failure to do so will result in a judgment by default against the defendant for the relief demanded inthe complaint. The court may allow a summons to be amended.

(b) Issuance.

Upon or after filing the complaint, the plaintiff may present a summons to the clerk for signature and seal. If the summons is in proper form, the clerk shall sign, seal, and issue it to the plaintiff for service on the defendant. A summons, or a copy of the summons if addressed to multiple defendants, shall be issued for each defendant to be served.

(c) Service with Complaint; by Whom Made.

- (1) A summons shall be served together with a copy of the complaint. The plaintiff is responsible for service of a summons and complaint within the time allowed under subdivision (m) and shall furnish the person effecting service with the necessary copies of the summons and complaint.
- Service may be effected by any person who is not a party and who is at least 18 years of age. At the request of the plaintiff, however, the court may direct that service be effected by a United States marshal, deputy United States marshal, or other person or officer specially appointed by the court for that purpose. Such an appointment must be made when the plaintiff is authorized to proceed in forma pauperis pursuant to 28 U.S.C. § 1915 or is authorized to proceed as a seaman under 28 U.S.C. § 1916.

(d) Waiver of Service; Duty to Save Costs of Service; Request to Waive.

- (1) A defendant who waives service of a summons does not thereby waive any objection to the venue or to the jurisdiction of the court over the person of the defendant.
- (2) An individual, corporation, or association that is subject to service under subdivision (e), (f), or (h) and that receives notice of an action in the manner provided in this paragraph has a duty to avoid unnecessary costs of serving the summons. To avoid costs, the plaintiff may notify such a defendant of the commencement of the action and request that the defendant waive service of a summons. The notice and request

- (A) shall be in writing and shall be addressed directly to the defendant, if an individual, or else to an officer or managing or general agent (or other agent authorized by appointment or law to receive service of process) of a defendant subject to service under subdivision (h);
- (B) shall be dispatched through first-class mail or other reliable means;
- (C) shall be accompanied by a copy of the complaint and shall identify the court in which it has been filed;
- (D) shall inform the defendant, by means of a text prescribed in an official form promulgated pursuant to Rule 84, of the consequences of compliance and of a failure to comply with the request;
- (E) shall set forth the date on which request is sent;
- (F) shall allow the defendant a reasonable time to return the waiver, which shall be at least 30 days from the date on which the request is sent, or 60 days from that date if the defendant is addressed outside any judicial district of the United States; and
- (G) shall provide the defendant with an extra copy of the notice and request, as well as a prepaid means of compliance in writing.

If a defendant located within the United States fails to comply with a request for waiver made by a plaintiff located within the United States, the court shall impose the costs subsequently incurred in effecting service on the defendant unless good cause for the failure be shown.

- (3) A defendant that, before being served with process, timely returns a waiver so requested is not required to serve an answer to the complaint until 60 days after the date on which the request for waiver of service was sent, or 90 days after that date if the defendant was addressed outside any judicial district of the United States.
- (4) When the plaintiff files a waiver of service with the court, the action shall proceed, except as provided in paragraph (3), as if a summons and complaint had been served at the time of filing the waiver, and no proof of service shall be required.
- (5) The costs to be imposed on a defendant under paragraph (2) for failure to comply with a request to waive service of a summons shall include the costs subsequently incurred in effecting service under subdivision (e), (f), or (h), together with the costs, including a reasonable attorney's fee, of any motion required to collect the costs of service.

(e) Service Upon Individuals Within a Judicial District of the United States.

Unless otherwise provided by federal law, service upon an individual from whom a waiver has not been obtained and filed, other than an infant or an incompetent person, may be effected in any judicial district of the United States:

- (1) pursuant to the law of the state in which the district court is located, or in which service is effected, for the service of a summons upon the defendant in an action brought in the courts of general jurisdiction of the State; or
- (2) by delivering a copy of the summons and of the complaint to the individual personally or by leaving copies thereof at the individual's dwelling house or usual place of abode with some person of suitable age and discretion then residing therein or by delivering a copy of the summons and of the complaint to an agent authorized by appointment or by law to receive service of process.

(f) Service Upon Individuals in a Foreign Country.

Unless otherwise provided by federal law, service upon an individual from whom a waiver has not been obtained and filed, other than an infant or an incompetent person, may be effected in a place not within any judicial district of the United States:

- (1) by any internationally agreed means reasonably calculated to give notice, such as those means authorized by the Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents; or
- (2) if there is no internationally agreed means of service or the applicable international agreement allows other means of service, provided that service is reasonably calculated to give notice:
 - (A) in the manner prescribed by the law of the foreign country for service in that country in an action in any of its courts of general jurisdiction; or
 - (B) as directed by the foreign authority in response to a letter rogatory or letter of request; or
 - (C) unless prohibited by the law of the foreign country, by
 - (i) delivery to the individual personally of a copy of the summons and the complaint; or
 - (ii) any form of mail requiring a signed receipt, to be addressed and dispatched by the clerk of the court to the party to be served; or
- (3) by other means not prohibited by international agreement as may be directed by the court.

(g) Service Upon Infants and Incompetent Person.

Service upon an infant or an incompetent person in a judicial district of the United States shall be effected in the manner prescribed by the law of the state in which the service is made for the service of summons or like process upon any such defendant in an action brought in the courts of general jurisdiction of that state. Service upon an infant or an incompetent person in a place not within any judicial district of the United States shall be effected in the manner prescribed by paragraph (2)(A) or (2)(B) of subdivision (f) or by such means as the court may direct.

(h) Service Upon Corporations and Associations.

Unless otherwise provided by federal law, service upon a domestic or foreign corporation or upon a partnership or other unincorporated association that is subject to suit under a common name, and from which a waiver of service has not been obtained and filed, shall be effected:

- (1) in a judicial district of the United States in the manner prescribed for individuals by subdivision (e)(1), or by delivering a copy of the summons and of the complaint to an officer, a managing or general agent, or to any other agent authorized by appointment or by law to receive service of process and, if the agent is one authorized by statute to receive service and the statute so requires, by also mailing a copy to the defendant, or
- (2) in a place not within any judicial district of the United States in any manner prescribed for individuals by subdivision (f) except personal delivery as provided in paragraph (2)(C)(i) thereof.

(i) Service Upon the United States, and its Agencies, Corporations, or Officers.

- (1) Service upon the United States shall be effected
 - (A) by delivering a copy of the summons and of the complaint to the United States attorney for the district in which the action is brought or to an assistant United States attorney or clerical employee designated by the United States attorney in a writing filed with the clerk of the court or by sending a copy of the summons and of the complaint by registered or certified mail addressed to the civil process clerk at the office of the United States attorney and
 - (B) by also sending a copy of the summons and of the complaint by registered or certified mail to the Attorney General of the United States at Washington, District of Columbia, and
 - (C) in any action attacking the validity of an order of an officer or agency of the United States not made a party, by also sending a copy of the summons and of the complaint by registered or certified mail to the officer or agency.

- (2) (A) Service on an agency or corporation of the United States, or an officer or employee of the United States sued only in an official capacity, is effected by serving the United States in the manner prescribed by Rule 4(i)(1) and by also sending a copy of the summons and complaint by registered or certified mail to the officer, employee, agency, or corporation.
 - (B) Service on an officer or employee of the United States sued in an individual capacity for acts or omissions occurring in connection with the performance of duties on behalf of the United States whether or not the officer or employee is sued also in an official capacity is effected by serving the United States in the manner prescribed by Rule 4(i)(1) and by serving the officer or employee in the manner prescribed by Rule 4 (e), (f), or (g). [Note: Rule 4(i)2 was amended effective 12/01/00]
- (3) The court shall allow a reasonable time to serve process under Rule 4(i) for the purpose of curing the failure to serve:
 - (A) all persons required to be served in an action governed by Rule 4(i)(2)(A), if the plaintiff has served either the United States attorney or the Attorney General of the United States, or
 - (B) the United States in an action governed by Rule 4(i)(2)(B), if the plaintiff has served an officer or employee of the United States sued in an individual capacity. [Note: Rule 4(i)3 was amended effective 12/01/00]

(j) Service Upon Foreign, State, or Local Governments.

- (1) Service upon a foreign state or a political subdivision, agency, or instrumentality thereof shall be effected pursuant to 28 U.S.C. § 1608.
- (2) Service upon a state, municipal corporation, or other governmental organization subject to suit, shall be effected by delivering a copy of the summons and of the complaint to its chief executive officer or by serving the summons and complaint in the manner prescribed by the law of that state for the service of summons or other like process upon any such defendant.

(k) Territorial Limits of Effective Service.

- (1) Service of a summons or filing a waiver of service is effective to establish jurisdiction over the person of a defendant
 - (A) who could be subjected to the jurisdiction of a court of general jurisdiction in the state in which the district court is located, or

- (B) who is a party joined under Rule 14 or Rule 19 and is served at a place within a judicial district of the United States and not more than 100 miles from the place from which the summons issues, or
- (C) who is subject to the federal interpleader jurisdiction under 28 U.S.C. § 1335, or
- (D) when authorized by a statute of the United States.
- (2) If the exercise of jurisdiction is consistent with the Constitution and laws of the United States, serving a summons or filing a waiver of service is also effective, with respect to claims arising under federal law, to establish personal jurisdiction over the person of any defendant who is not subject to the jurisdiction of the courts of general jurisdiction of any state.

(1) Proof of Service.

If service is not waived, the person effecting service shall make proof thereof to the court. If service is made by a person other than a United States marshal or deputy United States marshal, the person shall make affidavit thereof. Proof of service in a place not within any judicial district of the United States shall, if effected under paragraph (1) of subdivision (f), be made pursuant to the applicable treaty or convention, and shall, if effected under paragraph (2) or (3) thereof, include a receipt signed by the addressee or other evidence of delivery to the addressee satisfactory to the court.

Failure to make proof of service does not affect the validity of the service. The court may allow proof of service to be amended.

(m) Time Limit for Service.

If service of the summons and complaint is not made upon a defendant within 120 days after the filing of the complaint, the court, upon motion or on its own initiative after notice to the plaintiff, shall dismiss the action without prejudice as to that defendant or direct that service be effected within a specified time; provided that if the plaintiff shows good cause for the failure, the court shall extend the time for service for an appropriate period. This subdivision does not apply to service in a foreign country pursuant to subdivision (f) or (j)(1).

(n) Seizure of Property; Service of Summons not Feasible.

(1) If a statute of the United States so provides, the court may assert jurisdiction over property. Notice to claimants of the property shall than be sent in the manner provided by the statute or by service of a summons under this rule.

Upon a showing that personal jurisdiction over a defendant cannot, in the district where the action is brought, be obtained with reasonable efforts by service of summons in any manner authorized by this rule, the court may assert jurisdiction over any of the defendant's assets found within the district by seizing the assets under the circumstances and in the manner provided by the law of the state in which the district court is located.

Summary of Instructions for Filing a Civil Case

Document	General Information	Number of Copies Required
Complaint	List all plaintiffs and defendants in	You must provide an original, 1 copy
	the caption the top left of the	for the assigned judge, and 1 copy for
	complaint. State your case in your	each defendant named in your
	own words, using additional pages if	complaint. If you are suing the
	you need them. Your signature,	federal government or one of its
	address, and telephone number must	agencies, you need to provide 3 extra
	appear on the last page of your	copies.
	complaint. Exhibits may be attached	
	to your complaint.	
Civil Cover Sheet (JS-44)	Instructions for completing this form	Only the original is required.
	appear on the reverse side of the JS-	
This is a form used by the Court in	44.	
preparing the docket for your case.		
Appearance	If you do not have an attorney and	Only the original is required.
The appearance form is used to	will be proceeding without counsel,	
designate who will be acting as the	fill in the appearance form in	
attorney for a party.	accordance with the instructions	
	found on the reverse side of the	
	form, supplying your name and	
	address. Add the words "pro se"	
	next to your name.	
Filing fees	There is a fee of \$350 for the filing	NA
	of a civil case other than a writ of	
	habeas corpus. If you are unable to	
	afford the fee, see the information	
	below about in forma pauperis	
	petitions.	
In Forma Pauperis Petition	Complete all appropriate sections of	You must provide an original and 1
This petition is used by a plaintiff	the petition, sign and date.	copy for the assigned judge.
who requests approval by the court		
for a civil case to proceed without		
the prepayment of the filing fee.		
and propagations of the fining foc.		

Motion for Appointment of	Complete the motion form in	You must provide an original and 1
Counsel	accordance with the instructions	copy for the assigned judge.
This motion is a request that the court appoint an attorney.	attached to the form.	
Summons	Complete the original and one copy	You must provide an original and 1
	for service to each defendant. Your	copy for <i>each</i> defendant named in
	own name and address should	your complaint. If you are suing the
	appear under the heading labeled	federal government or one of its
	"Plaintiff's Attorney."	agencies, you need to provide 3 extra
		copies.